

INSTRUCTIONS

Use this form to transfer an Account upon the death of an Account Owner. **Please provide this completed form to the best of your knowledge, a copy of the Account Owner's death certificate and a copy of executor of estate qualification document(s), if applicable.** If you are not sure which documents or information you need to provide, please contact Customer Service at 1-888-567-0540 or visit Invest529.com/report-death-account-owner.

Please allow up to thirty (30) days for changes to be made following receipt of all required documents noted above. The Program Operations Team will review the information and documentation submitted and may contact you if additional information is needed.

SECTION 1 | Contact Information

Your Full Legal Name

Phone Number

Street Address

City, State, Zip Code

Email Address

Relationship to Account Owner

Executor/Representative of Account Owner's Estate Full Name and Contact Information (if known)**SECTION 2 | If you have knowledge of the Account(s) information, please provide the following:**

Account Owner Full Legal Name

Account Owner Social Security Number

Account Owner Last Known Address

Account Number(s)**SECTION 3 | Contact Information for Designated Survivor**

If you have knowledge of the Account information, provide the following information for the Designated Survivor. The Designated Survivor is the person who will assume Account ownership in the event of the Account Owner's death. Typically, the Account Owner names the Designated Survivor on their Account application or by submitting a Designated Survivor form. The Designated Survivor listed on an Account may not be changed after the death of an Account Owner.

Designated Survivor Full Legal Name

Designated Survivor Phone Number

Designated Survivor Street Address

Designated Survivor City, State, Zip Code

Designated Survivor Email Address

Relationship to Beneficiary (i.e. parent, guardian, friend, other)

SECTION 4 | Documentation for Designated Survivor

For the Designated Survivor listed on this Account, please provide the following:

- A copy of this form completed to the best of your ability
- A copy of the deceased Account Owner's death certificate
- A copy of an unexpired government-issued photo identification (such as a driver's license, passport, or identification card) for the Designated Survivor
- A copy of a Social Security card (if you do not have a Social Security card, you can submit a pay stub or W-2 dated within the past two years showing the full Social Security number) for the Designated Survivor

If not all the documentation is available to you, please provide as much as you can. At a minimum, a copy of this form and death certificate should be provided to Invest529.